

APPLICATION FOR CONSENT TO TRANSFER OF CONTROL

(Under 47 CFR 21, 23, 25 and 101) Read instructions before completing.

PART I - To be completed by Permittee or Licensee

1(a) Name of Corporate Permittee or Licensee

Mailing Street Address or P. O. Box, City, State and ZIP Code

Call Sign or Other FCC Identifier

Internet Address

(b) Fee Data. Refer to 47 CFR Section 1.1105, the Common Carrier or Wireless Telecommunications Bureau Fee Filing Guides.

(b) Fee Data Worksheet for Application With the Commission, Other or Wireless Telecommunications Service Fee Filing Cases.				FCC USE ONLY
Line No.	(1) Fee Type Code	(2) Fee Multiple	(3) Fee Due for Fee Type Code in (b)(1)	
1			\$	
2			\$	
Add all amounts in Column (3), lines 1 and 2. Remit this amount with your application. ➤➤ \$				

2. Permits or Licenses held by Corporation for which a Transfer of Control is sought in this application. (See instructions.)

(a) Call Sign (b) File Number (c) Service (d) No. of Stations

3. Name and Street Address or P. O. Box, City, State and ZIP Code of Transferor

4. Name and Street Address or P. O. Box, City, State and ZIP Code of Transferee

5. Permittee or Licensee represents: (check one)

☐ That there is attached to this application as Exhibit No. _____ a certified copy of the Articles of Incorporation (charter) of the permittee or licensee company.

☐ That there is now on file with the Commission a current certified copy of the Articles of Incorporation of the permittee or licensee company. Indicate where filed: _____ Date filed: _____

CERTIFICATION: The undersigned, individually and for the permittee or licensee, represents that all the attached exhibits pertinent to Part I are a material part hereof and are incorporated herein as if set out in full in this application; and certifies that all the statements made in Part I of this application are true, complete and correct to the best of his (her) knowledge and belief.
Willful false statements made on this application are punishable by fine and/or imprisonment (U.S.Code, Title 18, Section 1001), and/or revocation of any station license or construction permit (U.S. Code, Title 47, Section 312(a)(1)), and or forfeiture (U.S.Code, Title 47, Section 503).

Printed/Typed Name of Permittee or Licensee (Must agree with Item 1)	Signature	Title (Office Held by Person Signing)	Date
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PART II - To be completed by Transferor

6(a) Transfer of Control will be accomplished by: (check one)

☐ Sale or other transfer or assignment of stock (Complete item 6(b)).

☐ Other (e.g., voting trust agreement, management contract, Court Order, etc.)

(b) Shares	No. of Shares	Classification (common, preferred, etc.)
Shares to be transferred		
Shares issued and outstanding		
Shares authorized		

7. Attach as Exhibit No. _____ a statement on how control is to be transferred, and copies of any pertinent contracts, agreements, instruments, certified copies of Court Orders, etc.

CERTIFICATION: The undersigned represents that stock will not be delivered and that control will not be transferred until the Commission's consent has been received, but that transfer must be completed within 45 days if Commission consents; that all attached exhibits pertinent to Part II of this application are true, complete and correct to the best of his (her) knowledge and belief.
Willful false statements made on this application are punishable by fine and/or imprisonment (U.S.Code, Title 18, Section 1001), and/or revocation of any station license or construction permit (U.S. Code, Title 47, Section 312(a)(1)), and or forfeiture (U.S.Code, Title 47, Section 503).

Printed/Typed Name of Transferor Licensee (Must agree with Item 3)	Signature	Title (Office Held by Person Signing)	Date
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PART III - To be completed by Transferee

8. Transferee is: (check one)

☐ INDIVIDUAL☐ PARTNERSHIP☐ CORPORATION☐ UNINCORPORATED ASSOCIATION

9. Attach as Exhibit No. ____ a statement of transferee's principal business.

10. Attach as Exhibit No. ____ a statement of the businesses, employment, or activities, other than communications in which individual transferee, each member if a partnership, and all principals if a corporation, are engaged, giving (a) nature of activity, (b) location of activity, and (c) hours devoted to each activity.

Place an "X" in the appropriate column.	YES	NO
11. Is individual transferee, or if partnership each member of partnership, a citizen of the United States? ➤		
12. Is transferee or any party to this application a representative of an alien or of a foreign government? ➤		
13. If transferee is a partnership, attach as Exhibit No. ____ one copy, properly certified of the partnership agreement, or if oral, complete details thereof.		
14. If transferee is a Corporation (including joint stock companies) or Association, answer the following:		
a. Under laws of what State or Country is it organized?		
(1) Attach as Exhibit No. ____ a certified copy of the Articles of Incorporation (charter) if not heretofore on file with the Commission.		
(2) Attach as Exhibit No. ____ the names, addresses and percentages of stock held by all principals of the corporation and by all stockholders owning and/or voting 10 percent or more of the transferee's stock.		
b. Is any director or officer an alien? ➤		
c. Is more than one-fifth of the capital stock owned of record, or may it be voted, by aliens or their representatives, or by a foreign government or representatives thereof, or by a corporation organized under the laws of a foreign government? ➤		
d. Is transferee directly or indirectly controlled by an other corporation? If "YES", give in Exhibit No. ____ the names and addresses of all such controlling corporations to and including organization having final control and furnish for each all the information requested in 14a through c above. ➤		
e. Is transferee directly or indirectly controlled by any other corporation of which any officer or more than one-fourth of the directors are aliens? ➤		
f. Is more than one-fourth of the capital stock of any controlling corporation owned of record, or may it be voted by aliens or their representatives, or by a foreign government or representative thereof, or by an corporation organized under the laws of a foreign government? ➤		
15. Is transferee directly or indirectly interested in or affiliated with any entity or person engaged in the business of providing a public land line message telephone service? If "YES", and transferee is not a land line telephone carrier, attach as Exhibit No. ____ a statement relating the facts. ➤		
16. If permittee or licensee holds any Multipoint Distribution Service (MDS or MMDS) authorizations, is transferee directly or indirectly interested in or affiliated with, or has leasing arrangements with a cable television company? If "YES", submit as Exhibit No. ____ a description of the relationship and a map showing overlap of boundaries of cable franchise area and MDS station's protected service area, if any. ➤		
17. Has transferee or any party to this application had any station authorization revoked or had any application for construction permit, license or renewal denied by the Commission? If "YES", attach as Exhibit No. ____ a statement relating all the pertinent circumstances. ➤		
18. Has any court finally adjudged the transferee, or any person directly or indirectly controlling the transferee, guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio communication, directly or indirectly, through control of manufacture or sale of radio apparatus, exclusive traffic arrangement, or any other means or of unfair methods of competition? If "YES", attach as Exhibit No. ____ a statement relating the facts. ➤		
19. Has the transferee, or any party to this application, or any person directly or indirectly controlling the transferee ever been convicted of a crime for which the penalty imposed was a fine of \$500 or more, or an imprisonment of six months or more? If "YES", attach as Exhibit No. ____ a statement relating the facts. ➤		
20. Is transferee, or any person directly or indirectly controlling the transferee, presently a party in any matter referred to in Items 17, 18, or 19? If "YES", attach as Exhibit No. ____ a statement relating the facts. ➤		
21. Is transferee directly or indirectly, through stock ownership, contract, or otherwise, interested in the ownership or control of any other radio stations licensed by this Commission? If "YES", give (a) call sign and service, (b) location, and (c) name of licensee below. ➤		
22. Has applicant ever been directly or indirectly interested in the ownership or control of any radio stations other than those stated in Item 21 above? If "YES", give (a) call sign and service, (b) location, and (c) name of licensee below. ➤		

Place an "X" in the appropriate column.		YES	NO
23. Will transferee propose any of the following changes after the transfer of control is authorized (see instructions):			
a. Changes in the services currently offered? If "YES", attach as Exhibit No. ____ a brief statement of the proposed changes.	➤		
b. Changes in technical personnel, maintenance or repair of facilities? If "YES", attach as Exhibit No. ____ a description of positions to be changed and specific arrangements for prompt maintenance or repair of facilities.	➤		
c. Changes in management or personnel responsible for the operation of the station? If "YES", in Exhibit No. ____, describe the manner in which the proposal will operate, and list present positions of responsibility to be changed and proposed positions and division of responsibility, including hours of physical supervision. (When responsibilities are to be divided with any other business, give the name and address of owner of each such business and submit copy of working agreement.)	➤		
24. If transferee is a corporation, is stock of transferee to be sold after this consent is issued for any other purpose? If "YES", explain purpose in Exhibit No. ____.			
25. Does transferee now hold any obligations of licensee corporation? If "YES", in Exhibit No. ____, describe the obligations, methods by which acquired, and the dates on which they were obtained.			
26. Does local or state law require any authorization to transfer the control of the facilities and/or operations involved herein? If "YES", attach as Exhibit No. ____ a single certified copy of such authorization.			
27. a. Is transferee personally familiar with the provisions of the Commission's Rules governing the services which are the subject of this application?			
b. Has transferee examined the subject facilities and determined that construction and operation is in compliance with current authorizations and the Commission's Rules?			
28. Attach as Exhibit No. ____ a complete statement, setting forth facts which show how the instant proposal will be in the public interest, and disclosing all relationships, affiliations or connections between the transferee and current or prospective subscribers. The statement should contain the names of any common stockholders, officers, directors, employees or individuals closely related to the management or control of the facilities of the transferee and any subscriber.			
29. If corporate permittee or licensee holds any authorizations for Part 21 stations, answer (a) and (b) below:			
a. Does authorization involve facilities that have not been constructed? If "YES", does transferee represent that it has, or has reasonable assurance that it will have, the ability to meet the expected cost of constructing any such facilities within the construction period, and the estimated operating expenses for twelve months?	➤		
b. Were facilities authorized following a comparative hearing and have been operated less than one year; or involve facilities that have not been constructed; or involve facilities that were authorized following a random selection proceeding in which the successful applicant received a preference and that have been operated for less than one year?	➤		
30. Does transferee represent that the information given in Part III of this application is true and correct, including any contracts or other instruments submitted, and that said information and contracts (if any) constitute the full agreement?			
31. Does transferee acknowledge that, if Commission consents, transfer of control must be completed within 45 days of date of consent and Commission must be notified by letter within 10 days of consummation?			

CERTIFICATION: Neither the applicant nor any other party to the application is subject to a denial of Federal benefits that includes FCC benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. Section 862, because of a conviction for possession or distribution of a controlled substance. The undersigned represents that all the attached exhibits pertinent to Part III are a material part hereof and are incorporated herein as if set out in full in this application; and certifies that all the statements made in Part III of this application are true, complete and correct to the best of his (her) knowledge and belief.

Willful false statements made on this application are punishable by fine and imprisonment (U. S. Code, Title 18, Section 1001) and/or revocation of any station license or construction permit (U.S.Code, Title 47, Section 312(a)(1)) and or forfeiture (U.S.Code, Title 47, Section 503).

Typed/Printed Name of Transferee	Signature	Title (Office Held by Person Signing)	Date

INSTRUCTIONS FOR COMPLETING FCC FORM 704

A. FCC Form 704 is to be used when applying for consent to transfer of control of corporation holding common carrier (or noncommon carrier) radio station construction permit or license under 47 CFR Parts 21, 23, 25 or 101.

B. Submit an original and one copy to the FEDERAL COMMUNICATIONS COMMISSION, Washington, DC 20554 in ample time to be acted upon by the Commission prior to contemplated date of transfer of control. Submit two extra copies for each authorization listed in Item 2.

C. You may be required to submit a fee with your application. Please refer to either 47 CFR 1.1105 or the Common Carrier or Wireless Telecommunications Bureau Fee Filing Guides for the appropriate fee requirements.

Enter the correct Fee Type Code for the service you are applying for in Column 1 of Item 1(b). Enter the Fee Multiple in Column 2. Certain applications may request action with respect to more than one station, license, frequency, or party and can be submitted together with one check if they meet specific conditions. Column 2 may be used to indicate a fee multiple (i.e., two or more is being applied for). Enter in Column 3 the result obtained from multiplying the value of the Fee Type Code by the number entered in the Fee Multiple, if any. Complete Line 2 of Item 1(b) and Columns 1, 2, and 3 if you are requesting concurrent actions which result in a requirement to list more than one Fee Type Code. Add all amounts shown in Column 3, Lines 1 and 2. Your payment should equal this amount.

D. FCC Form 704 consists of three parts which are to be completed as follows: Part I - to be completed by Permittee or Licensee; Part II - to be completed by Transferor; Part III - to be completed by Transferee.

E. Before this application is prepared, the applicant should refer to 47 CFR Parts 1, 21, 23, 25 and 101, the Commission's Rules governing the execution and filing of this application. Items 16 and 29 apply to 47 CFR Part 21 applicants only.

F. Approval of this application is not to be construed as a modification of outstanding authorization.

G. Number each document or statement required to be filed as an exhibit consecutively. Enter the numbers in the space provided in the items of the form. When an exhibit is not required, enter an "X" in the blank space.

H. All applicants are to complete Item 2, Columns (a), (b) and (c). Services which may be listed in Column (c) for Part 25 include: Transmit Earth Stations; Satellite Systems (Column (d) does not apply); Small Transmit/Receive Earth Station; Receive Only Earth Stations; and Space Stations (Column (d) does not apply). Part 21 services include: Point-to-Point Microwave Radio; Multipoint Distribution; Local Television Transmission; Digital Electronic Message; and Fixed Subsidiary Communications Authorization. The service for Part 23 is International Fixed Public Radio.

Column (d) is to be completed only by applicants requesting transfer of control for authorizations issued in the domestic satellite services (under 47 CFR Part 25) for each authorization listed.

NOTICE TO INDIVIDUALS REQUIRED BY THE PRIVACY ACT OF 1974 AND THE PAPERWORK REDUCTION ACT OF 1995

The solicitation of personal information requested in this form is authorized by the Communications Act. The Commission will use the information provided in this form to determine whether grant of this application is in the public interest. Where there is an indication of violation or potential violation of a statute, regulation, rule or order, your application may be referred to the appropriate Federal, state, or local agency responsible for investigating or prosecuting a violation or for enforcing or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed in any legal proceeding to which the Commission is a party before a court or administrative body; to the Dept. of Justice or in a proceeding before a court or adjudicative body. If information requested on the form is not provided, processing of the application may be delayed or the application may be returned without action pursuant to Commission rules.

Public reporting burden for this collection of information is estimated to average eight hours per response including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information to Federal Communications Commission, Records Management Branch, AMD-IM, Washington, DC 20554, Paperwork Reduction Project (3060-0048) or via the Internet to jboley@fcc.gov. DO NOT SEND COMPLETED APPLICATIONS TO THIS ADDRESS. Individuals are not required to respond to a collection of information unless it displays a currently valid OMB control number.

The foregoing notice is required by the Privacy Act of 1974, Public Law 93-579, December 31, 1974, 5 U.S.C. Section 552a(e)(3) and the Paperwork Reduction Act of 1995, Public Law 104-13, October 1, 1995, 44 U.S.C. 3507.

Further questions can be directed to the Wireless Telecommunications Bureau Consumer Assistance staff at 1-800-322-1117.